

# Stocks and Shares ISA Transfer Form for Help to Buy: ISA

If you don't already hold an Aldermore Help to Buy: ISA, please complete our 'Help to Buy: ISA Account Opening Form' in the first instance.

If you're considering encashing a Stocks and Shares ISA, you should consider seeking independent financial advice to ensure this meets your circumstances.

Please note that you can transfer a Stocks and Shares ISA held with another provider into an Aldermore Help to Buy: ISA at any time, however payments are subject to the maximum opening and monthly allowances.

This form should only be used to request the transfer of an existing Stocks and Shares ISA to Aldermore. If you wish to transfer an existing Cash ISA, please visit our website or contact us to obtain the relevant form.

To transfer money held in a Stocks and Shares ISA, please complete this form using black ink and BLOCK CAPITALS and return it to us at the address shown to the right.

Please call us on 0345 604 2678 if you need any assistance completing any of our forms.

Aldermore  
Freepost RSAZ-AXXH-LHAX  
PO Box 762  
WALLSEND  
NE28 5DH

t 0345 604 2678  
e [service@aldermoresavings.co.uk](mailto:service@aldermoresavings.co.uk)  
w [aldermore.co.uk/personal](http://aldermore.co.uk/personal)

Aldermore Help to Buy: ISA Enquiry Number/Account Number:

## Personal details

|                      |  |
|----------------------|--|
| Title                | <input type="text"/>   |
| Forename(s)          | <input type="text"/>   |
| Surname              | <input type="text"/>   |
| Date of birth        | <input type="text"/> National Insurance No. <input type="text"/> |
| Address              | <input type="text"/>   |
| Contact Phone Number | <input type="text"/> Postcode <input type="text"/>               |

## Information about the Stocks and Shares ISA you want to transfer

|                                     |  |
|-------------------------------------|--|
| Name of existing ISA provider       | <input type="text"/>                               |
| Address of existing ISA provider    | <input type="text"/>                               |
| ISA Account Number/Reference Number | <input type="text"/> Postcode <input type="text"/> |

### Please note

As well as being subject to the terms and conditions of the Cash ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your Stocks and Shares ISA. For example

- Some Stocks and Shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, such as deciding which investments are sold to facilitate the transfer payments of fees.

If you are not sure what conditions apply, please check with your current Stocks and Shares ISA provider before completing this form.

Payments into a Help to Buy: ISA are subject to the maximum opening and monthly allowances.

Q1. Do you want to transfer all or part of your ISA? Tick here  All  Part

If you answered 'All' to question 1 this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to your new provider in its entirety.

Q2. Have you subscribed to this Stocks and Shares ISA in the current tax year? Tick here  Yes  No

If you answered 'All' to question 1, please go directly to the **Transfer authority** section on the reverse of this form. If you answered 'Part' to question 1, please answer questions 3, 4 and 5 below.

## Partial transfers

Q3. If you answered 'Yes' to question 2, do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)? Tick here  Yes  No

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part. Payments into a Help to Buy: ISA are subject to the maximum opening and monthly allowances.

Q4. If you only want to transfer your subscriptions from the current tax year tick here  OR

If you only want to transfer all of your subscriptions from previous tax years tick here  OR

If you only want to transfer **some** of your subscriptions from previous tax years (as well as investments relating to current year subscriptions if you have answered 'Yes' to question 3) tick here  and provide sale instructions by answering question 5.

### Q5 Stocks, shares and/or funds that you wish to sell

Please check that your selection is permitted by your existing Stocks and Shares ISA provider prior to submitting the form and note that you may be contacted by your existing ISA provider to agree what to sell.

Please use the box below to give instructions to your existing Stocks and Shares ISA provider to confirm what specific value or which tax years' subscriptions should be transferred and/or which investments should be sold to meet the transfer.

If needed, please list all investments that you hold with your current ISA provider that you wish to sell and transfer to your existing/new Aldermore Help to Buy: ISA. Alternatively, you may provide this information by supplying a separate list, for example by annotating a valuation statement from your current ISA provider.

| Description of investment | Investment Indicator e.g. ISIN, Sedol, Cuij (if known) | Number or values of stocks, shares or units or specify 'All' to sell the entire holding |
|---------------------------|--|---|
|                           |  |   |
|                           |  |   |
|                           |  |   |
|                           |  |   |
|                           |  |   |
|                           |  |   |

### Q5b Uninvested cash to be transferred

Please say how much of your uninvested cash balance in your Stocks and Shares ISA you want to transfer

£  Or, if you want to transfer all of your uninvested cash balance tick here

### Transfer authority

I authorise my existing ISA provider as specified on the front of this form to sell investments and transfer the ISA in cash (account number on the front of this form) to Aldermore.

I authorise my existing ISA provider to provide Aldermore with any information, written or non-written, concerning the Stocks and Shares ISA and to accept any instruction from them relating to the Stocks and Shares ISA being transferred.

Where I must give notice to close or transfer part of the existing Stocks and Shares ISA, or the existing Stocks and Shares ISA contains an investment which is designed and intended to be held for the full term and has yet to reach redemption, I instruct my current ISA provider to either (tick the appropriate box)

(i) Wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer; or

(ii) Depending on the terms and conditions, carry out the transfer as soon as possible – I accept any consequential loss of income, capital or charges that may be applied.

Signature \_\_\_\_\_ Date

### Transfer acceptance

We, Aldermore, are willing to accept this ISA transfer in line with the customer's instructions above, as long as the following condition is met

- The transfer proceeds are made up of cash deposits only.

# Aldermore

Aldermore Bank PLC is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. (Financial Services Register number: 204503). Registered Office: 1st Floor, Block B, Western House, Lynch Wood, Peterborough, PE2 6FZ. Registered in England. Company No. 947662.

Aldermore Savings' documentation is available in Braille, large print and audio versions.

ASV95-1017-400825



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